SIDDHARTH UNIVERSITY,
Kapilvastu, Siddharthnagar-272202
(Established under U.P. STATE UNIVERSITY ACT 1973)
SU/2016/132   Dt.: 17-03-2016

Recruitment Notice

Applications are invited on prescribed application form to fill up the following Posts of Professor (Advt. No.12/2016), Associate Professor (Advt. No.13/2016), Assistant Professor (Advt. No.14/2016), in the departments of Business Administration,Sanskrit, Hindi, Urdu, English, Psychology , Economics , Political Science, Ancient History, History, Sociology, Geography, Commerce, Physics ,Chemistry, Botany ,Zoology , Mathematics , Home Science (faculty of science), Biotechnology.

Duly completed application form with self attested required enclosures must reach by **registered post only** to the office of the Registrar, SIDDHARTH UNIVERSITY, Kapilvastu, Siddharthnagar-272202 (UP) on or before Dt. **28-04-2016-To know more detail, visit our website www.sidunikapilvastu.edu.in**

Registrar

SIDDHARTH UNIVERSITY, Kapilvastu, Siddharthnagar-272202

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Recruitment Notice

Applications are invited on prescribed application form to fill up the following Posts of Professor,Associate Professor, Assistant Professor. Duly completed application form with self attested required enclosures must reach by **registered post only** to the office of the Registrar, SIDDHARTH UNIVERSITY, Kapilvastu Siddharthnagar-272202 (UP) on or before Dt. **28-04-2016**

Advt. No	Post	Number of posts and departement
12/2016	Professor	Business Administration (UR-2), Sanskrit(UR-1),Hindi(UR-1), Urdu(UR-1),English(UR-1), Psychology (UR-1), Economics (UR-1), Political Science (UR-1) , Ancient History (UR-1) History(UR-1) ,Sociology(UR-1), Geography(UR-1), Commerce(UR-1), Physics(UR-1), Chemistry(UR-1), Botany(UR-1),Zoology(UR-1), Mathematics(UR-1), Home Science (faculty of science), (UR-1) ,Biotechnology(UR-1)
13/2016	Associate Professor	Business Administration(UR-2), Sanskrit(UR-1),Hindi(UR-1), Urdu(UR-1), English(UR-1), Psychology (UR-1), Economics UR-1), Political Science (UR-1), Ancient History (UR-1) History(UR-1),Sociology(UR-1), Geography(UR-1), Commerce(UR-1), Physics(UR-1),Chemistry(UR-1), Botany(UR-1),Zoology(UR-1), Mathematics(UR-1),Home Science (faculty of science) (UR-1) ,Biotechnology(UR-1)
14/2016	Assistant Professor	Business Administration(UR-3,OBC-1),Sanskrit(UR-2),Hindi(UR-2), Urdu(UR-2),English(UR-2), Psychology (UR-2), Economics (UR-2), Political Science (UR-2)Ancient History(UR-2)History(UR-2), Sociology(UR-2),Geography(UR-2),Commerce(UR-2),Physics(UR-2) ,Chemistry(UR-2),Botany(UR-2),Zoology(UR-2),Mathematics(UR-2), Home Science(faculty of science) (UR-2),Biotechnology(UR-2)

Application form, other details and Qualifications as per University Statute Dt. 03-12-2013 (As amended up to date) which are available on University Website **www.sidunikapilvastu.edu.in** UGC norms (as applicable). Application form and other details can be downloaded from the University Website.

1. Demand Draft of Rs. 1500/- for unreserved & OBC category and Rs. 1000/- in case of SC/ST category drawn in favour of Finance Officer, SIDDHARTH UNIVERSITY, Kapilvastu, Siddharthnagar-272202 (U.P.) payable at Siddharthnagar (U.P.).
2. The University reserves the rights to increase/decrease or withdraw advertised post(s) without giving any reason.
3. Mere eligibility will not entitle any candidate for being called for interview.
4. Application received after the last date shall not be considered.
5. Candidate must write the name of post & advertisement No. on the envelop

6. Reservations will be applicable as per U.P. State Govt. norms/rules.

7 Applicant should clearly note that the university will ,in no case , be responsible for non-receipt of their applications or any delay in receipt thereof . Applications received after the last date shall not be entertained. Applicants should , therefore , ensure that their applications reach the university on or before the last date.

8 The applicants who have already applied for post of assistant professor in the department of commerce (against the advt.2/2015) need not to apply.

Registrar

Copy forwarded to-
1. Regional Officer, Employment Bureau, Siddharthnagar.
2. In-charge of website for uploading the University Website.
3. PS to VC, for kind information of Vice Chancellor.
4. File.

Registrar

Qualifications for the Post of Assistant Professor, Associate Professor and Professor

शासनादेश संख्या 377/सत्तर–1–2013–16(114)/2010 दिनांक 03 दिसम्बर, 2013 के द्वारा शैक्षिक पदों के सीधी भर्ती के संबंध में अर्हताएं निर्धारित करके परिनियम में समाहित किया गया है, जो निम्नवत् है–

11.02. General Provisions for Recruitment and Minimum Qualification (new)

11.02.01 NET/SLET/SET shall remain the minimum eligibility condition for recruitment and appointment of Assistant Professor and Assistant Librarian/College Librarian in University/Colleges/Institutions; Provided, however, that candidates, who are who have been awarded a Ph.D. Degree in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2009, shall be exempted from the requirement of the minimum eligibility condition of NET/SLET/SET for recruitment and appointment of Assistant Professor and Assistant Librarian/College Librarian or equivalent positions in University/Colleges/Institutions.

11.02.02 NET/SLET/SET shall not be required for such Master Degree Programmes in disciplines for which NET/SLET/SET accredited test is not conducted.

11.02.03 A minimum of 55% marks (or an equivalent grade in a point scale wherever grading system is followed) will be required at the Master's degree level with good academic record for those candidates to be recruited as teachers at any level from industries and research institutions and at the entry level of Assistant Professor and Assistant Librarian/College Librarian subject to the provisions contained statute 11.02.05; Provided that a relaxation of 5% may be provided, from 55% to 50 % of the marks to the Ph.D. Degree holders. Who have obtained their Master's Degree prior to 19 September, 1991.

11.02.04 The minimum requirements of a good academic record- is Second Division at Graduate degree level, 55% marks (or an equivalent grade in a point scale wherever grading system is followed) at the master's degree level and qualifying in the National Eligibility Test (NET), or an accredited test (State Level Eligibility Test – SLET/SET), shall remain for the appointment of Assistant Professor and Assistant Librarian/College Librarian subject to the provisions contained statute 11.02.05.

11.02.05 A relaxation of 5% shall be provided at the graduate and master's degree level for the posts reserved for scheduled caste/scheduled tribe/differently-abled (Physically and visually differently-abled) **categories for the purpose of eligibility and for assessing good academic record during direct recruitment** to teaching positions and assistant librarian. The eligibility marks of 55% marks (or an equivalent

grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible, based on only the qualifying marks without including any grace mark procedures.

11.02.06   Relevant grade which is regarded as equivalent of 55% wherever the grading system is followed by a recognized university shall also be considered eligible.

11.02.07   The Ph.D. degree shall be a mandatory qualification for-

(a)   the appointment of Professor/Librarian and for promotion as a Professor/Librarian.

(b)   the candidates to be appointed as Associate Professor/Deputy Librarian through direct recruitment.

11.02.09   The period of time taken by candidates to acquire M.Phil. and/or Ph.D. degree shall not be considered as teaching/research experience to be claimed for appointment to the teaching positions.

11.02.10   The Selection Committee specifications as provided in section 31 of the Act are applicable to all direct recruitments of faculty positions and Career Advancement Promotions of Assistant Professor/Assistant Librarian to Associate Professor/Deputy Librarian to Professor/Librarian.

11.02.13   Perecentage equivalence of grade points for a seven points scale:

Where the University/College/Institution declare results in grade points which is on a scale of seven, the following mechanism shall be referred to ascertain equivalent marks in percentage:

A-Under UGC norms-

Grade	Grade Point	Percentage Equivalent
'O' – Outstanding	5.50-6.00	75-100
'A' Very Good	4.50-5.49	65-74
'B' Good	3.50-4.49	55.64
'C' Average	2.50-3.49	45-54
'D' Below Average	1.50-2.49	35-44
'E' Poor	0.50-1.49	25-34
'F' Fail	0.00-0.49	00-24

B-Under AICTE norms

Grade	Equivalent Percentage
6.25	55%
6.75	60%
7.25	65%
7.75	70%
8.25	75%

If a Class/ Division is not awarded, minimum of 60% marks in aggregate shall be considered equivalent to first class/division.

11.03 Direct Recruitment of Teachers & Library Cadre in University and Colleges

Save as otherwise provided in these Statutes and subject to the general provisions contained in Statute 11.02, the minimum eligibility criteria shall be governed by the provisions mentioned here in below:-

11.03.01. General Eligibility Criteria for an Associate Professor

(a) Good academic record with a Ph.D. Degree in the concerned/allied/relevant disciplines.

(b) A Master's Degree with at least 55% marks (or an equivalent grade in a point scale wherever grading system is followed).

(c) A minimum of eight years of experience of teaching and/or research in an academic/research position equivalent to that of Assistant Professor/Lecturer in a University, College or Accredited Research Institution/industry excluding the period of Ph.D. research with evidence of published work and a minimum of 5 publications as books and/or research/policy papers.

(d) Contribution to educational innovation, design of new curricula and courses and technology – mediated teaching learning process with evidence of having guided doctoral candidates and research students.

(e) A minimum score as stipulated in the Academic Performance Indicator (API) based Performance Based Appraisal System (PBAS), shall be governed by the relevant provisions of university Grants Commission (Minimum Qualifications for Appointment of Teachers and other Academic Staff in Universities and College) and other measures for the maintenance of Standards in Higher Education Regulations, 2010.

11.03.02 General Eligibility Criteria for a Professor-

(a) An eminent scholar with Ph.D. qualification(s) in the concerned/allied/relevant discipline and published work of high quality actively engaged in research with evidence of published work with a minimum of 10 publications as books and/or research/policy papers, and;

(b) A minimum of ten years experience in university/college, and/or experience in research at the University/National level institutions/industries, including experience of guiding candidates for research at doctoral level, and;

(c) Contribution to educational innovation, design of new curricula and courses, and technology-mediated teaching learning process, and;

(d) A minimum score as stipulated in the Academic Performance Indicator (API) based Performance Based Appraisal System (PBAS), shall be

governed by the relevant provisions of University Grants Commission (Minimum Qualifications for Appointment of Teachers and other Academic Staff in Universities and Colleges) and other measures for the maintenance of Standards in Higher Education Regulations, 2010.

or

An outstanding professional, with established reputation in the relevant field, who has made significant contributions to the knowledge in the concerned/allied/relevant discipline, to be substantiated by credentials.

11.03.04

(A)   Minimum qualifications for the faculty of Agriculture, Arts, Humanities, Sciences, Social Sciences, Commerce, Languages, Law, Journalism and Mass Communication

Assistant Professor

a) Good academic record as defined by the State Government with at least 55% marks (or an equivalent grade in a point scale wherever grading system is followed) at the Master's Degree level in a relevant subject from an Indian University, or an equivalent degree from an accredited foreign university.

b) Besides fulfilling the above qualifications, the candidate must have cleared the National Eligibility Test (NET) conducted by the UGC, CSIR or similar test accredited by the UGC like SLET/SET.

c) Notwithstanding anything contained in sub-clauses (i) and (ii) to this Clause, candidates, who are, or have been awarded a Ph.D. Degree in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2009, shall be exempted from the requirement of the minimum eligibility condition of NET/SLET/SET for recruitment and appointment of Assistant Professor or equivalent positions in Universities/Colleges/Institutions.

d) NET/SLET/SET shall also not be required for such Masters Programmes in disciplines for which NET/SLET/SET is not conducted.

API Calculations and capping-

While calculating the API for Category-III i.e Research category, capping has to be applied as per UGC regulations and be filled in self Assessment Coloumn

The parameters listed in table of category- III (Research and Academic contributions) shall have following capping in relation to the total API score claimed by the candidates.

- III **(A) Research Papers (Journals, Etc.)**   **30%**
- III **(B) Research publications (books, etc)**   **25%**
- III **(C) Research projects**   **20%**
- III **(D) Research guidance**   **10%**
- III **(E) Training Courses and Conf/Semina.etc**   **15%**

सिद्धार्थ विश्वविद्यालय, कपिलवस्तु, सिद्धार्थनगर
SIDDHARTH UNIVERSITY, KAPILVASTU, SIDDHARTHNAGAR- 272207

APPLICATION FORM FOR ACADEMIC POSITION

POST APPLIED FOR: …………………………Department / Subject ……………..........

1. Full Name (In Block Letters)…………………………………………………………………………………

2. (i) Fathers Name: …………………………………………………………………………………………..

    (ii) Mother's Name: ………………………………………………………………………………….........

3. Date of Birth : …………………………………..Age (As on Closing Date) :……………………………………………

4. Nationality :……………………….....Male/Female…………………….Marital Status…………………

Affix Self Attested Recent Photograph

5. DETAILS OF FEE PAID: Fee to be paid by means of a Demand Draft Favoring the **Finance officer, Siddharth University, Kapilvastu, Siddharthnagar** and *payable at* **Siddharthnagar.**

    (Name of the issuing Bank) ……………………… D.D. No:………………...........Date:…………………….Amount Rs:………………

6. (a) Present Position, if any: …………………………………………………………………………………………….….…

    (b) Date of Appointment.: ………………………………………………………………………………………………….

    (c) Pay Scale :………

    (d) Basic Pay : …………………………………………………………………………………….…………….…….......

    (e) Whether Permanent / on Probation/Temporary : ……………………………………………………………………….

    (f) Name of Institution/ Employer : ………………………………………………………………………………………….

7. Category: GEN / OBC / SC / ST/ PH/Other…………………………………………………………………………………………….

8. Address for Correspondence:………………………………………………………………………………………………...

    ……..

    Tel .-……………………………………………Mobile…………………………......E-Mail : …………………………………………….…

9. Permanent Address:

    ………

    ………

10. Academic Qualifications:-

Examination	Year	Subject	Division/ Grade	% of Marks	School/ College attended	Board / University
High School						
Intermediate						
Bachelor's Degree						
Master's Degree						
M.Phil.						
Ph.D.						
D.Sc./D.Lit.						

4 Form for Teaching Position 4

11. Whether the Candidate has qualified UGC. NET **/** SLET **/** JRF Examination (If so,Please Tick(✓) and UGC NET/JRF/SLET give details):

Roll No : .... Year : .... Subject ....

12. (a) Teaching Experience . Under Graduate and Post Graduate Levels

Name of the University / Institution	Designation & Pay Scale	Nature of Post: Temporary/ Permanent	Classes Taught		Period	
			Under-Graduate	Post Graduate	From	To

(b) Research Experience, if any:

Name of the University/Institution	Designation	Nature of The Post Temporary/Permanent	Period	
			From	To

(c) Administrative Experience

Post	Institution	From	To	Total

13. (a) Have you been debarred or punished for adopting unfair means in any examination by the Institution/Board or University? If so, please specify.
   (b) Have you at any time been convicted by a court for any criminal offence? If so, give name of the court, case number and offence.

14. (a) Publications: [Attach Separate Sheet{s}]

    (i)   Select list of the most significant five Research Publications :
    (ii)   Complete List of Research Publications (including details of Authorship) :
    (iii)   List of other Publications (Books, Chapters in edited books and Review Articles) :
    (iv)   Attach separate Sheet (s) if necessery
  (b) Academic
    (i)   Supervision of Awarded Doctoral Theses :
    (ii)   Supervision of Doctoral Theses, under progress :

15. . (a) Academic Awards/Distinctions/Other Activities :

      Seminar/Workshops attended

      Attach Seprate Sheet (S) if necessary

  (b) Membership of Academic Bodies:

NOTE :

- Xerox copies of Degree/Certificates, Mark-Sheets, Testimonials, (Caste Certificate issued by the competent authority) etc. should be attached with the application (attested by the applicant himself) and the originals must be produced at the time of interview.
- Applicants who are in employment should send their application through proper channel.
- Separate Application is required for each post applied for.
- Application received without application fee shall not be entertained.
- No T.A./D.A. is admissible for attending the interview.
- Applicants must possess the minimum qualifications and experience as per the latest relevant UGC/ University Statutes/ U.P. State Government rules for the respective posts.
- Canvassing in any form will be treated as a disqualification.
- Candidates belonging to OBC/SC/ST categories can apply against unreserved posts.

DECLARATION

I--- Son / Daughter of--- hereby declare that all statements and entries made in the application are true, complete & correct to the best of my knowledge and belief. if any information being found false or incorrect or ineligibility being detected at any time, my candidature/ appointment may be cancelled by the University.

Signature of Applicant : ....

Date : ....   Name in Block Letters :

CERTIFICATE OF THE EMPLOYER

(In case the Applicant is in Employment)

Forwarded with the remark that this University / Institution / Organization has no objection to the applicant being considered for the post applied for

Signature : ....

(Head of Institution/Organization with Seal)

Date : ....   Tel. / Mobile No. : ....

LIST OF ENCLOSURES :

FOR OFFICE USE ONLY

SIDDHARTH UNIVERSITY, Kapilvastu, Siddharthnagar- 272202

(SUMMARY SHEET IN SEVEN COPIES)

Post Applied for ……………………………………………………Department……………………………………………………..

Name:………………………………………………………….Date of Birth:………………………………………………………..

Present Scale……………………………………………………………………

Present Basic Pay Rs…………………………………………………………..

Present Gross Emoluments Rs …………………............per month

Present Employer / Institution…………………………………………………………….. .

Male / Female: ……………………………………………General / OBC / SC / ST / PH/Other

Total Teaching Experience :   year(s)………………………   Months………………………

Total Research Experience :…………………   year(s)……………………… Months………………………

Total Administrative Experience: year(s) .... months…………………………

Present Post :………………………………………………NET/SLET………………………… year ....

Academic Qualifications					Publications & Research Work (Give Number)		
Exam.	Year	Div.	% of Marks	Board / University	Published		Under Publication
High School							
Intermediate							
Bachelor's Degree							
Master's Degree							

Title of Ph. D. Thesis :

	Experience			Ph.D. / M.Phil. (Give Number)		
Institution	Post Held / Pay	From	To	Awarded	Under Submission	Research in Progress

Academic Distinctions / Awards / Any other Relevant Information:

Details of Select Published Work:

1. **Research Papers** : Please list up to 5 papers, which you consider best, beginning with the latest publication.

S.No.	Title	Authors	Journal & Date
1.			
2.			
3.			
4.			
5.			

II. **Books:** (Please list up to 5 books which you consider most important)

S.No.	Title	Authors	Publisher & Year of Publication & ISBN/ISSN No
1.			
2.			
3.			
4.			
5.			

III. **Other important articles / Chapters in Books/ Monographs I Papers presented in Conferences and Seminars.**

S.No.	Title	Title of Conference/ Seminar etc.
1.		
2.		
3.		
4.		
5.		

Date ....

Signature of Applicant

SIDDHARTH UNIVERSITY, Kapilvastu, Siddharthnagar- 272202
PRECIS (in 05 copies)

Name and Address of the Candidates Mobile No. & E-mail	Date of Birth	Category	Qualifications				Research and Publications	Teaching Experience	Remarks
			Exam Passed	Division	Year	%age			
							Research Paper……………………… Books…………………………… Chaper's in Book……………….. Paper Presented in Conferences……………………… No. of Ph.D. Produced ………………………….. No. of M.Phil. Produced ………………………		

API Proforma for Professor, Associate Professor & Assistant Professor

Performance Based Appraisal system (PBAS) Proforma-1

Performance Based Appraisal system (PBAS) proforma based on Academic Performance Indicator (APIs) in recruitments and career Advancement Scheme (CAS) performance of University/college Teacher. AS PER UGC REGULATIONS, 2010

SCORES FOR ACADEMIC PERFORMANCE INDICATORS (APIs) IN RECRUITMENTS AND CAREER ADVANCEMENT SCHEME (CAS) PROMOTIONS OF UNIVERSITY TEACHERS

CATEGORY– I: TEACHING LEARNING AND EVALUATION RELATED ACTIVITIES

Brief explanation based on the teacher's self-assessment, API scores are proposed for (a) teaching related activities; (b) domain knowledge; (c) participation in examination and evaluation; (d) contribution to innovative teaching, new courses etc. The minimum API score required by teachers from this category is 75. The self assessment score should be based on objectively verifiable criteria wherever possible and will be finalized by the screening / selection committee.

Sr.No.	Nature of Activity	Maximum Score	Self assessment to be filled by candidate	Verified API score (for official use)
1.	Lectures, seminars, tutorials practical's, contact hours undertaken taken as percentage of lectures allocated	50		
2.	Lectures or other teaching duties in excess of the UGC norms.	10		
3.	Preparation and Imparting of knowledge/ instruction as per curriculum; syllabus enrichment by providing additional resources to students.			
4.	Use of participatory and innovative teaching- learning methodologies; updating of subject content, course improvement etc.	20		
5.	Examination duties (invigilation; question paper setting. evolution/assessment of answer scripts) as per allotment.	25		
	Total Score	**105**		
	Minimum API Score Required	75		

Note: Lectures, and tutorials allocation to add up to the UGC norm for particular category of teacher. **Supporting documents, wherever be attached**.

CATEGORY II:

CO-CURRICULAR, EXTENSION AND PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES.

Brief Explanation: The teacher is required to gives scores based on one's self-assessment for co-curricular and extension activities; and professional development related contributions. The minimum API required by teacher for eligibility for promotion is 15. A list of items and proposed scores is given below. It will be noticed that all teacher can earn scores from a number of items, whereas some activities will be carried out only by one or a few teachers. The list of activities is broad enough for the minimum API score required (15) in this category to accrue to all teachers. As before, the self-assessment score should be based on objectively verifiable criteria and will be finalized by the screening / selection committee.

Sr. No.	Nature of Activity	Maximum Score	Self assessment to be filled by candidate	Verified API score (for official use)
1.	Student related co-curricular, extension and field based activities (such as extension work though NSS/NCC and other channels, cultural activities, subject related events, advisement and counseling)	20		
2.	Contribution to corporate life and management of the department and institution through participation in academic and administrative committees and responsibilities	15		
3.	Professional Development activities (such as participation in seminars. conferences, short term training courses, talks, lectures, membership of associations, dissemination and general articles, not covered in Category III below)	15		
	Minimum API Score Required	**15**		

CATEGORY-III

RESEARCH AND ACADEMIC CONTRIBUTIONS

Brief Explanation: The teacher is required to give scores based on one's self-assessment for research and academic contributions. The minimum API score required by teacher from this category is different for different levels of promotion and between university and colleges. The self-assessment score will be based on verifiable criteria and will be finalized by the screening/ selection committee.

Sr. No.	APIs	Engineering/Agriculture/ Veterinary Science/ Sciences/Medical Science	faculties of Languages Arts/ Humanities / social sciences/ library/ physical education/ Management	Max. points for University & College teacher position	Self Assessment	Verified API score (for official use)
III A	Research papers published in	Refereed journals	Refereed journals	15/ publication		
		Non-refereed but recognized and reputable journals and periodicals having ISBN/ ISSN Numbers	Non-refereed but recognized and reputable journals and periodicals having ISBN/ISSN Numbers	10/ publication		
		Conference proceeding as full papers etc. (abstracts not to be included)	Conference proceedings as full papers etc. (abstracts not to be included)	10/ publication		
III B	Research publication (books chapters in books, other than refereed journals)	Texts or reference books published by international publisher with an established peer review system	Texts or reference books published by international publisher an established peer review system	50/ sole author 10/ chapter in an edited book		
		Subject Books By Published by national publisher/ state and Central Govt. publication with ISBN/ ISSN number	Subject Books by/ national level publisher / state and central government. publication with ISBN/ ISSN number	25/ sole author 5/ chapter in an edited book		
		Subject Books By local publisher with ISBN / ISSN number	Subject Books By local publisher with ISBN/ ISSN number	15/sole author 3/ chapter in an edited book		
		Chapter contributed to edited knowledge based volumes published by International Publisher	Chapters contributed to edited knowledge based volumes published by International Publisher	10/ Chapter		
		Chapter in knowledge based volumes by Indian/ National level publisher with ISBN/ISSN number and with number of national and international directories	Chapter knowledge based volumes in Indian/ National level publisher with ISBN/ISSN number and with number of national and international directories	5/Chapter		

III (c)	**RESEARCH PROJECTS**					
III(c)(i)	Sponsored projects carried out/ ongoing	(a) Major project (amount mobilized with grants above Rs 30.0 lakhs)	Major project (amount mobilized with grants above Rs 5.00 lakhs)	20/each project	Self Assessment	Verified API score (for official use)
		(b) Major project amount (mobilized with grants above Rs 5.00 lakhs up to Rs 30.00 lakhs)	Major project amount mobilized with minimum of Rs. 3.00 lakhs up to Rs 5.00 lakhs	15/each project		
		(c) Minor project (Amount mobilized with grants above Rs 50,000 lakhs up to Rs 5 lakhs)	Minor project (Amount mobilized with grants above Rs 250.000 lakhs up to Rs 3 lakhs)	10/each project		
III(c)(ii)	Consultancy project carried out/ ongoing	Amount mobilized with minimum of Rs 10.00 lakhs	Amount mobilized with minimum of Rs 2.00 lakhs	10 per every Rs. 10.0 lakhs and 2 per every Rs 2.0 lakhs. Respectively		
III(c)(iii)	Completed project Quality Evaluation	Completed project report (Acceptance form funding agency)	Completed project report (Accepted form funding agency)	20/each major project and 10/ each major project		
III(c)(iv)	Project outcome/Outputs	Patent/Technology transfer/Product/Process	Major Policy document of Govt. Bodies at Central and State laval	30/each national level output or patent/50/each for International level		
III(D)	**RESEARCH GUIDANCE**					
III(D)(i)	M.Phil.	Degree awarded only	Degree awarded only	3/ each candidate		
III(D)(ii)	Ph.D	Degree awarded only	Degree awarded only	10/each candidate		
		Thesis submitted	Thesis submitted	7/each candidate		
III(E)	**TRAINING COURSE AND CONFERENCE / SEMINAR/ WORKSHOP PAPERS**					
III(E)(i)	Refresher courses, Methodology workshop Training teaching Learning Evaluation Technology Programmers Soft Skills development Programs faculty, (Max 30 points)	(a) Not less than duration	(a) Not less than two week duration	20/each	Self Assessment	Verified API score (for official use)
		(b) One week duration	(b) One week duration	10/each		

III(E) (ii)	Paper in Conferences/ Seminars/ workshops etc. **	Participation presentation of research papers (oral/ poster) in	Participation presentation of research papers (oral/ poster) in			
		a) International conference	a) International conference	10/each		
		b) National	b) National	7.5/ each		
		c) Regional/ State level	c)Regional/ State level	5/each		
		d) Local-University/College Level	Local-University/College Level	3/each		
III(E)(iv)	Invited lectures or presentation or presentation for conference/ symposia	(a) International	a) International	10/each		
		(b) National level	b) National level	5/each		

* Wherever relevant to any specific discipline, the API score for paper in refereed journal should be augmented as follows: (i) indexed journal -by 5 point

(ii) Paper with impact factor between 1 and 2 by 10 points.

(iii) Paper with impact factor between 2 and 5 by 15 points.

(iv) Paper with impact factor between 5 and 10 by 25 points.

** If a paper presented in conference/Seminar is published in the form of Proceedings. The points would accrue.

For the publication (iii (a)) and not under presentation (III(e)(ii))

Notes: 1. The Screening /selection committees will assess and verify the categorization and scores of publications.

2. The API for joint publications should be calculated in the following manner of the total score for the relevant category of publication by the concerned teacher the first / Principle author and corresponding author/ supervisor/mentor of the teacher would share equally 60% of the total points and the remaining 40% should be shared equally by all other authors.

* supporting documents wherever required be attached.

TABLE-II (A)

Minimum APIs as provided in the performance Appraisal system (PBAS) proforma to be applied for the promotion of teacher under career advancement (CAS) in university Department and weightage for expert assessment.

S.N.		Assistant Professor/equivalent cadres : (stage 1 to stage 2)	Assistant Professor/ equivalent cadres : (stage 2 to stage 3)	Assistant Professor (stage 3) to Associate Professor/ equivalent cadres : (stage 4)	Associate Professor (stage4) to Professor/ equivalent cadres : (stage 5)	Professor (stage5) of Professor (stage6)
1	Teaching learning evolution Related Activities (Category 1)	75/year	75/year	75/year	75/year	75/year
2	Co-curricular, Extension and profession related activities (category II)	15/year	15/year	15/year	15/year	15/year
3	Minimum total average annual score under categories I and II*	100/year	100/year	100/year	100/year	100/year
4	Research and Academic Contribution (category III)	10/year (40/ assessment period)	20/year (100/ assessment period)	30/year (90/ assessment period)	40/year (120/ assessment period)	50/year (500/ assessment period)
	Expert Assessment system	Screening committee	Screening committee	Selection committee	Selection committee	Expert committee
5	Percentage distribution of weightage points in the expert assessment (total weightage = 100 minimum required for promotion is 50)	No separate points Screening committee to verify API scores	No separate points committee to verify API scores	30 %contribution to research 50 % assessment of domain knowledge and teaching practices 20 % -interview performance	50% contribution to research 30 % assessment of domain knowledge and teaching practices 20 % interview performance	50 % research 50% performance evaluation and other credential by referral procedure

* Teachers may score 10 points from either **category I** or **category II** to achieve the minimum score required under **category I + II**

Note : For universities for which sixth PRC award are applicable stages 1,2,3,4,5 and 6 correspond to scales with AGP of Rs 6000,7000,8000,9000,10000 and 12000 respectively

PRC= pay Review Committee.

TABLE-II (B)

Minimum point of the APIs as provided in the performance Based Appraisal System (PBAS) proforma and weightage for expert assessment to be applied for the promotion of teacher in collage (UG and PG) under career advancement (CAS)

Sl.No.		Assistant Professor/equivalent cadres : (stage 1 to stage 2)	Assistant Professor/ equivalent cadres : (stage 2 to stage 3)	Assistant Professor (stage 3) to Associate Professor/ equivalent cadres : (stage 1 to stage 2)	Associate Professor (stage4) to Professor/ equivalent cadres : (stage 5)
1.	Teaching learning Evolution Related Activities (Category 1)	75/year	75/year	75/year	75/year
2.	Co-curricular, Extension and profession related activities (category II)	15/year	15/year	15/year	15/year
3.	Minimum total average annual score under categories I and II	100/year	100/year	100/year	100/year
4.	Research and Contribution (category III)	5/year (20/ assessment period)	10/year (50/ assessment period)	15/year (45/ assessment period)	20/year (60/ assessment period)
	Expert Assessment system	Screening committee	Screening committee	Screening committee	Screening committee
5.	Percentage distribution of weightage points in the expert assessment (total weightage = 100 minimum required for promotion is 50)	No separate points Screening committee to verify API scores	No separate points committee to verify API scores	20 % contribution to research 60 % assessment of domain knowledge and teaching practices 20 % -interview performance	30 % contribution to research 50 % assessment of domain knowledge and teaching practices 20 % -interview performance

*   Teachers may score 10 points from either category I or category II to achieve the minimum score required under   category I +II

Note : For universities for which sixth PRC award are applicable stages 1,2,3,4,5 and 6 correspond to scales with AGP of Rs 6000,7000,8000,9000,10000 and 12000 respectively

PRC= pay Review Committee.

EXPLANATORY NOTE FOR TABLE II (A) AND II (B)

1. All teachers shall submit the duly filled-in Performance Based Appraisal System (PEAS) preformed to the Internal Quality Assessment (IQACs) of the university / college annually.

2. However, in order to the remedy the difficulties of collecting retrospective information and to facilitate the implementation of these regulation form 31.12.2010 in the CAS promotion, the API based PBAS will be progressively and prospectively rolled out.

3. Accordingly the PBAS based on the API scores of category **I** and **II** as mentioned in these tables is to be implemented for one year initially based on the existing systems in universities/ college for one year only with the minimum average score as depicted in table II(a) and II(b) in rows **I** to **III.** This annualized API score can then be compounded progressively as and when the teacher becomes eligible for CAS promotion to the next cadre. Thus, if a teacher is eligible for CAS promotion in 2010, one year API scores for 2008-09 alone will be required for assessment in case of a teacher becomoming for CAS promotion in 2011; two years average of API scores for these categories will be required for assessment and so on leading progressively for the complete assessment period.

4. As shown in table II, the aggregate minimum API score required (**given in row III**) can be earned from any of two broad categories, subject to the minimum prescribed in each category. This will provide for due weightage to teacher who contribute additionally through any of the components given in Categories I and II for also for the differing nature of contributions possible in different institutional settings.

5. For Category III (research and academic contributions), maintenance of past record is done on a normal basis by teachers hence no difficulty is envisaged in applying the API scores for this category for the entire assessment period. In this category, an aggregate minimum score is required for promotion over each stage. Alternatively a teacher should acquire the required minimum aggregate score over two previous stages, taken together.

6. Candidates should offer themselves for assessment for promotion. If they fulfill the minimum API score indicated in Tables **I and II** by submitting an application and the required proforma. They can do so three months before the due date if they consider themselves eligible. Candidates, who do not consider themselves eligible, can also apply at a later date.

7. If however on final assessment candidates do not either fulfill the minimum criteria under Rows III and IV of Tables II(A) and II (B) or obtain less then 50% in the expert assessment they will be reassessed only after a minimum period of one year.

8. (a) If a candidate applies for promotion on completion of the minimum eligibility period and is successful the date of promotion will be deemed to the minimum period of eligibility.

   (b) If however the candidate finds that she/he fulfills the eligibility conditions at a late date and applies on that date and is successful, her/his promotion will be deemed to be from that date of application.

   (c) If the candidate does not succeed in the first assessment but succeeds in an eventual assessment, her/his promotion will be deemed to be form the later date.

TABLE-III(C)

Minimum score for APIs for direct recruitment of teacher in University department/Collage librarian/ physical education cadres in university/ collage and weightages in selection committees to be considered along with other specified eligibility qualification stipulated in the Regulation.

	Assistant Professor/ equivalent carders (Stage 1)	**Associate Professor/equivalent carders (Stage 4)**	**Professor/equivalent carders (Stage 5)**
Minimum API Scores	Minimum qualification as stipulated in these Regulation	Consolidated API score requirement of 300 point form category III of APIs	Consolidated API score requirement of 400 point form category III of APIs
Selection Committee criteria/ weight ages (total weightages = 100)	a) Academic record and Research performance (50%) b) Assessment of domain knowledge and teaching Skill (30%) c) Interview performance (20%)	a) Academic Background (20%) b) Research performance based on API score and quality of publication (40%) c) Assessment of domain knowledge and teaching Skill (20%) d) Interview performance (20%)	e) Academic Background (20%) f) Research performance based on API score and quality of publication (40%) g) Assessment of domain knowledge and teaching Skill (20%) Interview performance (20%)

Note : For universities/ colleges for which sixth PRC award are applicable stages 1,4 and 5 correspond to scales with AGP of Rs 6000 ,9000 and 10000 respectively.

PRC= Pay Review Committee.

TABLE-III

Minimum Academic Performance and Service Requirement for Promotion Teacher in University and Collage

S.N.	Promotion of Teacher through CAS	Service requirement	Minimum Academic performance Requirements and Screening / Selection criteria
1	Assistant Professor equivalent cadres from stage 1 to stage 2	Assistant Professor in stage 1 and completed **Four Year** of service with Ph.D. or **Five year** of service who are with M.Phill /P.G. Degree in professional Courses such as LL.M. , M.Tech, M.V.Sc., M.D. or Six Year of service who are without Ph.D./ M.Phill/P.G. Degree in professional courses. /equivalent cadres : (stage 1 to stage 2)	(i) Minimum API scores using the PBAS scoring Proforma developed by the concerned University as per the norms provided in table II (A)/ II(B) (ii) One Orientation and one Refresher / Research Methodology Course of 2/3 weeks duration. (iii) Screening com verification process of recommending promotion.
2	Assistant Professor equivalent cadres from stage 2 to stage 3	Assistant Professor with completed service **of Five Years in Stage 2**	(i) Minimum API scores using the PBAS scoring Proforma developed by the concerned University as per the norms provided in table II (A)/ II(B) (ii) One course/programme from among the categories of Refresher/Methodology Workshops, Training, Teaching learning evaluation technology programs, soft skill developments programs and faculty development programme of two/ three week duration. (iii) Screening cum verification process for recommending promotion.
3	Assistant Professor (stage 3) to Associate Professor (stage 4)	Assistant Professor with **three years** or completed service **in Stage 3**	(i) Minimum API scores using the PBAS scoring Proforma developed by the concerned University as per the norms provided in table II (A)/ II(B) (ii) At least three publications in the entire period as Assistant Professor (Twelve year).however in the case of Collage teacher, an exemption of one publication will be given to M.Phil holders and as exemption of **Two** publications will be given to Ph.D. holder. (iii) One course/ programmed from among the categories of methodology workshop, Training teaching learning Evolution technology Programmes, Soft Skills development Programmes and faculty developments Programme of minimum one week duration. (iv) A selection committee process as stipulated in this regulation and as Table II (A)/ II(B).
4	Associate Professor (stage 4) Professor/ equivalent cadres (stage 5)	Associate Professor with **three years** or completed service **in Stage 4**	(i) Minimum yearly / cumulative API scores using the PBAS scoring Proforma developed by the concerned University as per the norms provided in table II (A)/ II(B). Teachers may combine two assessment periods (in stage 2 and stage 3 to achieve minimum API scores. If required. (ii) A minimum of five publications since the period that the teacher is placed in stage 3; (iii) A selection committee process as stipulated in this regulation and Table II (A) / II(B).
5	Professor (stage 5) to Professor (stage 6)	Professor with **ten years** completed service **(Universities only)**	(i) Minimum yearly / cumulative API scores for the assessment period as the norms provided in Table II (A) (ii) Additional credentials are to be evidenced by (a) Post doctoral research output of high standard (b) awards/ honours/ recognitions/ patents and IPR on products and processes developed/ technology transfer achieved and (c) additional research degree like D.Sc., D.Lit. LL.B, etc. (iii) A review process by an Expert Committee as stipulated in the regulation and in Table II (A)/ II(B).

For teacher seeking promotion under CAS to Associate Professor for who or the date of this notification are Assistant Professors in stage 2, the existing requirement of Ph.D. or equivalent publication will continue to apply . In case some teacher do not also meet this criteria the , the selection committee may give due weightage to criteria mentioned in Performance Based Apprised System(PBAS) proforma categories I and II other who enter Stage 2 subsequent to this notification he requirement of three publication as defined in these regulation will be applicable.

Note: For University/ Colleges fore which sixth PRC awards are applicable stages 1,2,3,4,5 and 6 correspond to scales with AGP of Rs 6000, 7000, 8000, 9000, 10000 and 12000 respectively.

PRC= pay Review Committee.

I certify that the information provided is correct as as per records available with the University and / or documents enclosed along with the duly filled PBAS proforma.

Signature of
Designation, place and date
(With Seal)

Signature of the Chairman
of Selection Committee
Designation, place and date